

**SEX OFFENDER CERTIFICATION
IOWA INNOVATION ACCELERATION FUND**

This certification is required by Section 3011(c)(2) of the Small Business Jobs Act of 2010 from any private entity that receives loan, a loan guarantee, or other financial assistance using funds received by a participating State under the State Small Business Credit Initiative.

Legal name of entity:

- As required by section 3011©(2) of the Small Business Jobs Act of 2010, the private entity hereby certifies to the participating State that the Principals of the private entity have not been convicted of a sex offense against a minor (as such terms are defined in section 111 of the Sex Offender Registration and Notification Act (42 U.S.C. 16911)). For the purposes of the this Certification, Principal means the following: if a sole proprietorship, the proprietor; if a partnership, each managing partner and each partner and each partner who is a natural person and holds a 20% or more ownership interest in the partnership; and if a corporation, limited liability company, association or a development company, each director, each of the five most highly compensated executives or officers of the entity, and each natural person who is a direct or indirect holder of 20% or more of the ownership stock or stock equivalent of the entity.

Legal Name:

By: _____

Authorized Signatory

Name: _____

Title: _____

Date: _____

All borrowers/investees and any lenders and investors benefiting, or potentially benefitting from SSBCI funds must complete this Sex Offender Certification. "Benefitting" means any organization, person, or fund ahead of IEDA in collateral position or repayment of capital investment. The term "Investor" refers to any private venture capital, seed stage, mezzanine, or angel fund participating in an SSBCI supported investment. This term does not refer to individual investors in a fund. The term "lender" refers to any financial institution or individual providing a private loan to the borrower/investee. This form shall be signed by an authorized signatory of the entity providing the investment.

** IEDA will work with borrower/investee upon submittal of an application to determine collateral and repayment positions of each funding partner listed in the application. Prior to loan closing, borrower/investee shall be responsible for collecting completed Sex Offender Certification forms from all partners who are required to complete this form.*